SMB3.1.1 to the Cloud and Beyond: Update on Progress in SMB3 Support for Linux

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Who am I?

- Steve French smfrench@gmail.com
- Author and maintainer of Linux cifs vfs (for accessing Samba, Windows and various SMB3/CIFS based NAS appliances)
- Also wrote initial SMB2 kernel client prototype
- Member of the Samba team, coauthor of SNIA CIFS
 Technical Reference, former SNIA CIFS Working Group chair
- Principal Software Engineer, Azure Storage: Microsoft

Outline

- General Linux File System Status Linux FS and VFS Activity
- What are the goals?
- What's New Key Feature Status
- Cifs-utils enter a new era ...
- Features expected soon ...
- Performance Overview
- POSIX Extensions
- Testing Improvements

A year ago ... and now ... kernel (including SMB3 client cifs.ko) improving

 A year ago Linux 4.18 "Merciless Moray"



Last week:5.3 "Bobtail Squid"



Discussions driving some of the FS development activity?

- New mount API
- Improving support for Containers
- Better support for faster storage (NVME, RDMA)
- io_uring
- Fixing copy offload (e.g. copy and clone file range syscalls)
- Shift to Cloud (longer latencies, object & file coexisting)

The "real reason" for kernel 5.0

- Quoting Linus (January 7th email announcing 5.0-rc1):
 - "People might well find a feature _they_ like so much that they think it can do as a reason for incrementing the major number. So go wild. Make up your own reason for why it's 5.0."
- So should we claim: "Version 5.0 marks the reborn, new improved SMB3 Client For Linux" ...?

2019 Linux FS/MM summit (last month in Puerto Rico)

Great group of talented developers





Most Active Linux Filesystems this year

- 5344 kernel filesystem changesets last year (since 4.18 kernel).
 (down slightly)
 - FS activity: 6% of overall kernel changes (which are dominated by drivers) down slightly as % of activity
 - Kernel is huge (> 18 million lines of code, measured Saturday)
- There are many Linux file systems (>60), but six (and the VFS layer itself) drive two thirds of the activity (btrfs, xfs and cifs are the three most active)
 - File systems represent 5.1% of kernel source code (930KLOC)
- cifs.ko (cifs/smb3 client) among more active fs
 - #3 most active with 466 changesets!
 - 53KLOC (not counting user space cifs-utils which are 10.5KLOC and samba tools which are larger)

Linux File System Change Detail (4.16-now)

- BTRFS 1004 changesets (down)
- VFS (overall fs mapping layer and common functions) 775 (down)
- XFS 521 (up slightly)
- CIFS/SMB2/SMB3 client 466. And increasing!
- F2FS 358 (down)
- NFS client 328 (down)
- And others: EXT4 206(down), AFS 155, Ceph 172, GFS2 142, overlayfs 103, ocfs2 108 ...
- NFS server 137 (down). Linux NFS server is **MUCH** smaller than CIFS client or Samba
- NB: Samba is as active as all Linux file systems put together (>5000 changesets per year) - broader in scope (by a lot) and also is user space not kernel. 100x larger than the NFS server in Linux! Samba now 3.5 million lines of code (measured Saturday with sloccount)!

What are the goals?

- Make SMB3 (SMB3.11 and followons) fastest, most secure general purpose way to access file data, whether in the cloud or on premises or virtualized
- Implement all reasonable Linux/POSIX features so apps don't have to know running on SMB3 mounts (vs. local)
- As Linux evolves, and need for new features discovered, quickly add them to Linux kernel client and Samba



Examples of Great Progress this year!



Snapshot mounts

- Want to compare backups?
- Look at previous versions?
- Recover corrupted data
- An example, one mount with "snapshot=" and one without

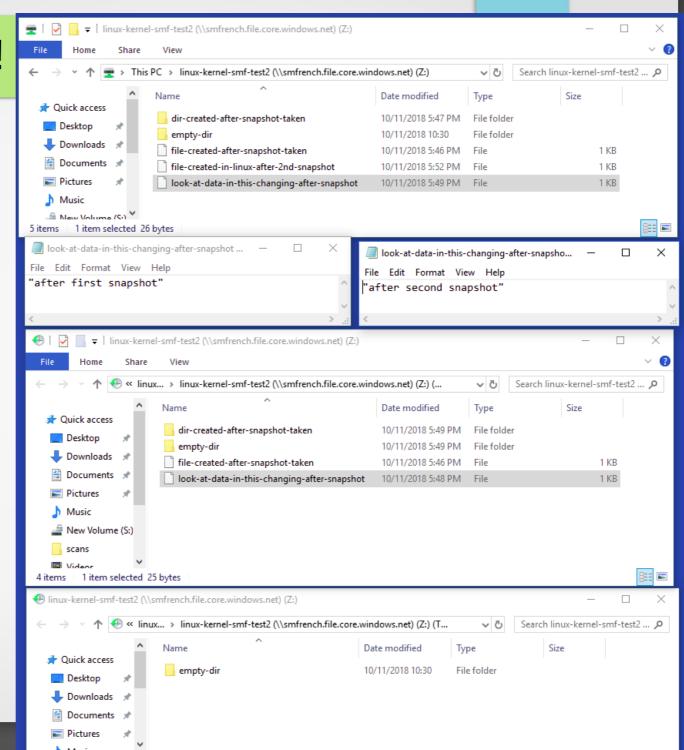
cat /proc/mounts | grep cifs

//172.22.149.186/public /mnt1 cifs ro,vers=default,addr=172.22.149.186,snapshot=131748608570000000,... //172.22.149.186/public /mnt2 cifs rw,vers=default,addr=172.22.149.186,...

root@Ubuntu-17-Virtual-Machine:~/cifs-2.6# ls /mnt1
EmptyDir newerdir
root@Ubuntu-17-Virtual-Machine:~/cifs-2.6# ls /mnt1/newerdir
root@Ubuntu-17-Virtual-Machine:~/cifs-2.6# ls /mnt2
EmptyDir file newerdir newestdir timestamp-trace.cap
root@Ubuntu-17-Virtual-Machine:~/cifs-2.6# ls /mnt2/newerdir
new-file-not-in-snapshot

Snapshots rock!

- Different mounts
- SS is Read-only
- Easy to use

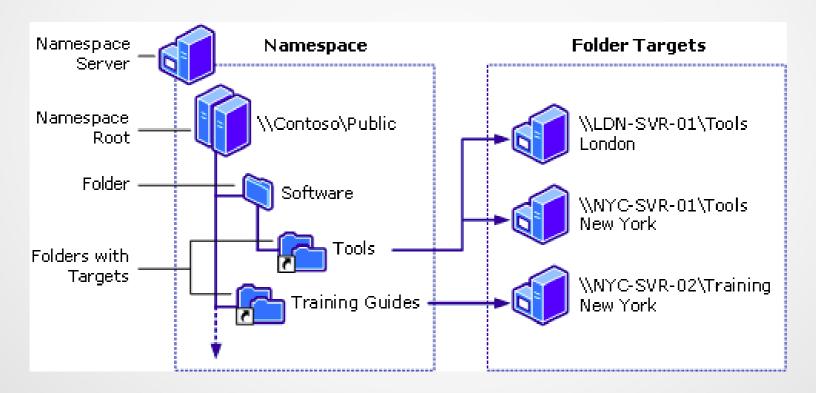


rsize and wsize increase

- Previous default 1MB
 - 4MB gave 1 to 13% improved performance to Samba depending on network speed, 1% better for read.
- Moved to 4MB in 4.20 kernel
- And default block size moved to 1MB (helps cp)

Global Name Space – Much better! Thank you Paulo!

 Remember what DFS could do in Windows ... now the Linux client can handle failover and DFS entry caching too



New security models

- Modefromsid
- Multiuser improvements

Multichannel

- Thank you Aurelien!
- Expected to be a big performance win ...
- Testing continues at SDC SMB3 Plugfest



Compounding helps a lot – thanks Ronnie!

Added in so far:

- 1) update timestamps on existing file: touch /mnt/file" goes from 6 request/resp pairs to 4
- 2) delete file "rm /mnt/file" from 5 to 2
- 3) make directory "mkdir/mnt/newdir" 6 to 3
- 4) remove directory "rmdir /mnt/newdir" 6 down to 2
- 5) rename goes from 9 request/response pairs to 5 ("mv /mnt/file /mnt/file1")
- 6) hardlink goes from 8 to only 3 (!) ("In /mnt/file1 /mnt/file2")
- 7) symlink with mfsymlinks enabled goes from 11 to 9 ("In -s /mnt/file1 /mnt/file3")
- 8) query file information "stat /mnt/file" goes from six roundtrips down to 2
- 9) And get/set xattr, and statfs and more

Sparse File Support (and other network fs can't do this)!

```
screen
File Edit View Search Terminal Help
[sahlberg@rawhide-2 cifs]$ #Create a sparse file
[sahlberg@rawhide-2 cifs]$ sudo ./sparse-file.py /mnt/sparse
Blocksize is 16384.
Changing this to 64k as that is real block size on windows16 Needs fixing.
0...65536
131072...262144
327680...1048576
[sahlberg@rawhide-2 cifs]$ #Check the FIEMAP
[sahlberg@rawhide-2 cifs]$ filefrag -v /mnt/sparse
Filesystem type is: fe534d42
File size of /mnt/sparse is 1048576 (64 blocks of 16384 bytes)
        logical offset:
                             physical offset: length: expected: flags:
 ext:
                                                4:
           0..
                   3:
                                         3:
  1:
                   15:
                              8..
                                        15:
                                                8:
           8..
          20..
                             20..
                                        63:
                                                             last,eof
                   63:
                                               44:
/mnt/sparse: 1 extent found
[sahlberg@rawhide-2 cifs]$
```

```
▼ Ioctl Response (0x0b)
     StructureSize: 0x0031
       unknown: 0000
     Function: FSCTL_QUERY_ALLOCATED_RANGES (0x000940cf)
    ▶ GUID handle File: sparse
    ▼ In Data
         Offset: 0x00000070
         Length: 16
       Range
            File Offset: 0
            Length: 9223372036854775807
    ▼ Out Data
         Offset: 0x00000080
          Length: 48
       Range
            File Offset: 0
            Length: 65536
       Range
            File Offset: 131072
            Length: 131072
       Range
            File Offset: 327680
            Length: 720896
                                                     RT....RT ..S...E.
     52 54 00 c1 f8 ef 52 54
                             00 fd 53 ac 08 00 45 00
     00 e8 01 b2 40 00 80 06 7d 7b c0 a8 7c c6 c0 a8
                                                     .... 0.... }{...
0020 7c cb 01 bd a4 82 f2 c0 be e0 38 d3 89 13 80 18
                                                     1...... ..8....
     08 1c e6 e7 00 00 01 01 08 0a 09 f5 f8 b8 7a 14
                                                     . . . . . . . . . . . . . . . . . . Z .
0040 92 4a 00 00 00 b0 fe 53
                            4d 42 40 00 01 00 00 00
                                                      .J....S MB@.....
     00 00 0b 00 0a 00 01 00
                             00 00 00 00 00 00 0a 01
                                                     ......4R .....!.
    00 00 00 00 00 00 34 52
                            00 00 05 00 00 00 21 00
     00 1c 00 88 00 00 00 00
                            00 00 00 00 00 00 00 00
                                                      . . . . . . . . . . . . . . . . .
                                                     .....1. ....@...YD
     00 00 00 00 00 00 31 00
                             00 00 cf 40 09 00 59 44
    33 00 22 00 00 00 bd 00
                            00 00 22 00 00 00 70 00
                                                     3."....p.
00a0 00 00 10 00 00 00 80 00
                            00 00 30 00 00 00 00 00
                                                      . . . . . . . . . . . . . . . . . . .
. . . . . . . . . . . . . . . . .
00c0 ff ff ff ff ff 7f 00 00 00 00 00 00 00 00 00 00
00d0 01 00 00 00 00 00 00 00 02 00 00 00 00 00 00 00
     00f0
    0b 00 00 00 00 00
                                                     . . . . . .
```

XHelp

Example test code using sparse files:

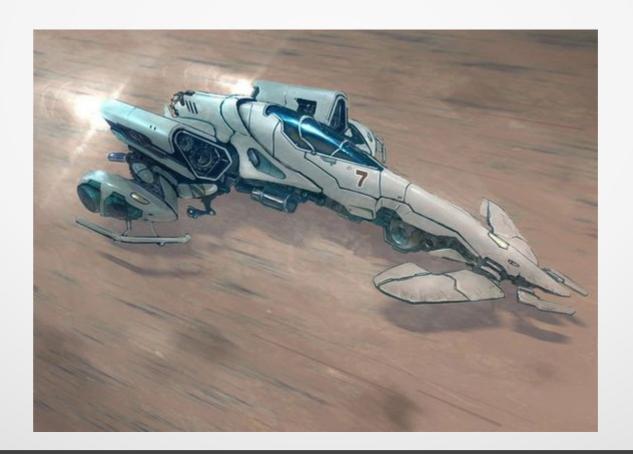
```
File Edit View Search Terminal Help
def fiogetbsz(f):
        return struct.unpack('I', fcntl.ioctl(f, FIGETBSZ, struct.pack('I', 0)))
[0]
def main():
        if len(sys.argv) != 2:
                usage()
        # test mapping: filefrag -v and hdparm --fibmap
        # ioctl(3, FIGETBSZ, 0x55c339b16070)
        # ioctl(3, FS IOC FIEMAP, {fm start=0, fm length=1844674407370955\
                 1615, fm flags=0, fm extent count=292}
        f = os.open(sys.argv[1], os.0 CREAT|os.0 RDWR)
        bs = fiogetbsz(f)
        print 'Blocksize is %d.' % bs
        if bs < 65536:
                print 'Changing this to 64k as that is real block size on window
s16 Needs fixing.
                bs = 65536
        pwrite(f, 'a' * 1024 * 1024, 0)
        smb2 set sparse(f, 1)
        smb2 set zero data(f, bs, bs * 2)
        smb2 set zero data(f, bs * 4, bs * 5)
        buf = smb2 query allocated ranges(f, 0, 1024 * 1024)
        while len(buf):
                r = struct.unpack from('<20', buf, 0)
                print "%d...%d" % (r[0], r[0]+r[1])
                buf = buf[16:]
        os.close(f)
   name == " main ":
        main()
[sahlberg@rawhide-2 cifs]$
```

Now 77 smb3 dynamic tracepoints (a year ago was 20 ...)!

```
root@smf-Thinkpad-P51:~# ls /sys/kernel/debug/tracing/events/cifs
lenable
                     smb3 hardlink done
                                                  smb3 query dir err
                                                                                        smb3 ses expired
filter
                                                  smb3 query info compound done
                     smb3 hardlink enter
                                                                                        smb3 set eof done
smb3 close err
                     smb3 hardlink err
                                                  smb3 query info compound enter
                                                                                        smb3 set eof enter
                     smb3 lease done
                                                  smb3 query info compound err
                                                                                        smb3 set eof err
smb3 cmd done
smb3 cmd enter
                     smb3 lease err
                                                  smb3 query info done
                                                                                        smb3 set info compound done
                     smb3 lock err
                                                  smb3 query info enter
                                                                                        smb3 set info compound enter
smb3 cmd err
smb3 credit timeout
                     smb3 mkdir done
                                                  smb3 query info err
                                                                                        smb3 set info compound err
smb3 delete done
                     smb3 mkdir enter
                                                  smb3 read done
                                                                                        smb3 set info err
smb3 delete enter
                                                                                        smb3 slow rsp
smb3 delete err
                     smb3 open done
                                                  smb3 read err
                                                                                        smb3 tcon
                     smb3 open enter
                                                  smb3 reconnect
                                                                                        smb3 write done
smb3 enter
                                                  smb3 reconnect with invalid credits
smb3 exit done
                     smb3 open err
                                                                                        smb3 write enter
                     smb3 partial send reconnect
                                                  smb3 rename done
smb3 exit err
                                                                                        smb3 write err
                     smb3 posix mkdir done
                                                  smb3 rename enter
smb3 falloc done
                                                                                        smb3 zero done
                     smb3 posix mkdir enter
smb3 falloc enter
                                                  smb3 rename err
                                                                                        smb3 zero enter
smb3 falloc err
                     smb3 posix mkdir err
                                                                                        smb3 zero err
smb3 flush err
                     smb3 query dir done
                                                  smb3 rmdir enter
smb3 fsctl err
                     smb3 query dir enter
```

GCM Fast

- Can more than double write perf! 80% for read
- In 5.3 kernel



NetName context added!

• In 5.3 kernel

Can now view detailed info on open files (not just "Isof" output)

```
Sample output from "cat /proc/fs/cifs/open_files"

# Version:1
# Format:
# <tree id> <persistent fid> <flags> <count> <pid> <uid> <filename> <mid
    0x5 0x800000378 0x8000 1 7704 0 some-file 0x14
    0xcb903c0c 0x84412e67 0x8000 1 7754 1001 rofile 0x1a6d
    0xcb903c0c 0x9526b767 0x8000 1 7720 1000 file 0x1a5b
    0xcb903c0c 0x9ce41a21 0x8000 1 7715 0 smallfile 0xd67</pre>
```

RDMA – Performance Improved

- Thank you Long Li! Many fixes/improvements
- No longer CONFIG_EXPERIMENTAL for smbdirect (RDMA) on Linux kernel client



And can view (on the client) the number of open files per share

```
$ cat /proc/fs/cifs/Stats
Resources in use
CIFS Session: 1
Share (unique mount targets): 2
SMB Request/Response Buffer: 1 Pool size: 5
SMB Small Req/Resp Buffer: 1 Pool size: 30
Operations (MIDs): 0
0 session 0 share reconnects
Total vfs operations: 36 maximum at one time: 2
1) \\localhost\test
SMBs: 69
Bytes read: 27 Bytes written: 0
Open files: 2 total (local), 3 open on server
TreeConnects: 1 total 0 failed
TreeDisconnects: 0 total 0 failed
Creates: 19 total 0 failed
Closes: 16 total 0 failed
```

Slow Response Threshold now configurable

/sys/module/cifs/parameters/slow_rsp_threshold or via modprobe:

slow_rsp_threshold:Amount of time (in seconds) to wait before logging that a response is delayed.

Default: 1 (if set to 0 disables msg). (uint)

Recommended values are 0 (disabled) to 32767 (9 hours) with the default remaining as 1 second

SMB3/CIFS Features by release

- 4.17 (56 changesets) June 3
 - Add signing support for smbdirect
 - Add support for SMB3.11 encryption, and preauth integrity (Thanks Aurelien!)
 - SMB3.11 dialect improvements (reconnect and encryption) (and no longer marked experimental)
- 4.18 (89 changesets) August 12
 - RDMA and Direct I/O improvements (thank you Long Li!)
 - SMB3 POSIX extensions (initial minimal set, open and negotiate context only. use 'posix' mnt parm)
 - Add "smb3" alias to cifs.ko ("insmod smb3")
 - Allow disabling less secure dialects through new module install parm (disable_legacy_dialects)
 - Add support for improved tracing (ftrace, trace-cmd)
 - Cache root file handle, reducing redundant opens, improving perf (Thanks Ronnie)

4.19 (69 cifs/smb3 changesets, Oct 22) cifs.ko internal module version 2.12

- Snapshot (previous version support)
- SMB3.1.1 ACL support
- Remove SMB3.1.1 config option (so support for newest dialect, SMB3.1.1, always built)
- Compounding for statfs (perf improvement)
- smb3 stats and tracepoints much improved (e.g. slow command trancepoint, counter)
- Fix statfs output
- smb3 xattr alias (eg getfattr -n system.smb3_acl /mnt1/file)
- Allow disable insecure dialect, vers=1.0, in kconfig
- Bug fixes (signing, firewall, root dir missing file, backup intent, lease break, security)

4.20 (70 Changesets, December 23rd) cifs.ko internal module version 2.14

- RDMA and direct i/o performance improvements (add direct i/o to smb3 file ops)
- Much better compounding (create/delete/set/unlink/mkdir/rmdir etc.), huge perf improvements for metadata access
- Additional dynamic (ftrace) tracepoints
- Add /proc/fs/cifs/open_files to allow easier debugging
- Slow response threshold is now configurable
- Requested rsize/wsize larger (4MB vs. 1MB)
- Query Info IOCTL passthrough (enables new "smb-info" tool to display useful metadata in much detail and also ACLs etc.), and allow ioctl on directories
- Many Bug Fixes (including for krb5 mounts to Azure, and fix for OFD locks, backup intent mounts)

5.0 (82 changesets) March 3rd, 2019 (cifs.ko internal module version 2.17)

- SMB3.1.1 requested by default (ie is now in default dialects list)
- DFS failover support added (can reconnect to alternate DFS target) for higher availability and
 - DFS referral caching now possible, cache updated regularly (Thank you Paulo)
- Support for reconnect if server IP address changes (coreq change in user space implemented in latest version of cifs-utils) (Thank you Paulo!)
- Performance improvement for get/set xattr (compounding support extended)
- Many Bug Fixes (24 important enough for stable) including for large file copy in cases where network connection is slow or interrupted, reconnect fixes, and fix for OFD lock support. The buildbot is really helping improve cifs.ko code quality!

5.1 (86 changesets) May 5th 2019 (cifs.ko internal module version 2.19)

- "fsctl passthrough" support improved: allows tools like cifs-utils to easily query any info available over SMB3 fsctl or query info
- New mount parm "handletimeout" to allow persistent/resilient handle behavior to be configurable
- Allow fallocate zero range to expand a file
- Improve perf: cache FILE_ALL_INFO for the shared root handle
- Improve perf: default inode block size reported as 1MB (NB: 4MB rsize/wsize)
- Cleanup mknod and special file handling (thank you Aurelien)
- Support guest mounts over smb3.1.1
- Add many dynamic trace points to ease debugging and perf analysis
- Bug fixes (23 important enough for stable). Adding even more tests to the buildbot really helped. Multiple fixes for 'crediting' (SMB3 flow control) thank you Pavel!

5.2 (64 changesets, so far) July 7 2019. cifs.ko version 2.20

- Bug fixes (11 important enough for stable)
- Improved perf: sparse file support now allows fiemap,
 SEEK_HOLE and SEEK_DATA (helps cp to Samba e.g.)
- Add support for fallocate ZERO_RANGE
- Support "fsctl passthrough" for cases where send (write) data in SMB3 fsctl – allows user space tools to do more!
- RDMA support (smbdirect) improved (thanks Long Li) should no longer be considered 'experimental'

5.3 (55 changesets) Sept 15th, 2019 (cifs internal module number 2.22)

5.4 (38 changesets so far). Cifs version 2.23

Bugzilla

- Bugzilla.samba.org: 59 bugs open against cifsVFS component
- Bugzilla.kernel.org: 37 bugs open against CIFS component (down significantly)
- Help would be appreciated cleaning up some of these (some are already fixed but not backported by the distros they are using)

Cifs-utils enters a new era!

Smbinfo!

```
root@smf-Thinkpad-P51:~/cifs-utils-staging# ./smbinfo
Usage: ./smbinfo [-V] <command> <file>
-V for verbose output
Commands are
 fileaccessinfo:
      Prints FileAccessInfo for a cifs file.
 filealigninfo:
      Prints FileAlignInfo for a cifs file.
  fileallinfo:
      Prints FileAllInfo for a cifs file.
  filebasicinfo:
      Prints FileBasicInfo for a cifs file.
  fileeainfo:
      Prints FileEAInfo for a cifs file.
  filefsfullsizeinfo:
      Prints FileFsFullSizeInfo for a cifs share.
  fileinternalinfo:
      Prints FileInternalInfo for a cifs file.
  filemodeinfo:
      Prints FileModeInfo for a cifs file.
 filepositioninfo:
      Prints FilePositionInfo for a cifs file.
  filestandardinfo:
      Prints FileStandardInfo for a cifs file.
  fsctl-getobjid:
      Prints the objectid of the file and GUID of the underlying volume
 list-snapshots:
      List the previous versions of the volume that backs this file.
 quota:
      Prints the quota for a cifs file.
 secdesc:
      Prints the security descriptor for a cifs file.
```

Cifs-utils now even has a GUI!

secddesc-ui.py

Owner: S-1-5-21-3036116067-3721892582-1715408553-1002 Group: S-1-22-2-1004 ALLOW S-1-5-21-3036116067-3721892582-1715408553-1002 Basic Advanced ALLOW S-1-22-2-1004 ALLOW S-1-1-0 Advanced Permissions for S-1-22-2-1004 Full Control □ Traverse-folder/execute-file Write-Extended-Attributes □ List-folder/read-data
 □ Delete □ Read-Attributes □ Read-Permissions ▼ Create-files/write-data □ Take-Ownership ▼ Create-folders/append-data

Another example:

root@smf-Thinkpad-P51:~/cifs-utils-staging# ./smbinfo fileallinfo /mnt2/400M

Creation Time Fri May 24 15:06:42 2019

Last Access Time Fri May 24 15:06:42 2019

Last Write Time Fri May 24 15:06:43 2019

Last Change Time Fri May 24 15:06:43 2019

File Attributes 0x00000020:

Allocation Size 409993216

End Of File 409600000

Number Of Links 1

Delete Pending 0

Delete Directory 0

Index Number 10354692

Ea Size 0

File/Printer access flags 0x00020080:

Current Byte Offset 0

Mode 0x00000000:

File alignment: BYTE ALIGNMENT

cifs-utils

- With pass-through SMB3 fsctl and query-info (and set-info) now possible it is easy to write user space tools to get any interesting info from the server
- Would love more contributions!
- Look at smbinfo from cifs-utils for examples

Features expected soon

- Security
 - Make the two common security use cases easy!
 - Improved GCM support (best SMB3.1.1 crypto, faster)
- Performance
- New function
 - Two new negotiate contexts:
 - Compression (partially implemented)
 - NETNAME_NEGOTIATE_CONTEXT
- More improvements to the SMB3.1.1 POSIX Extensions (see slides from yesterday)

Exciting year!!

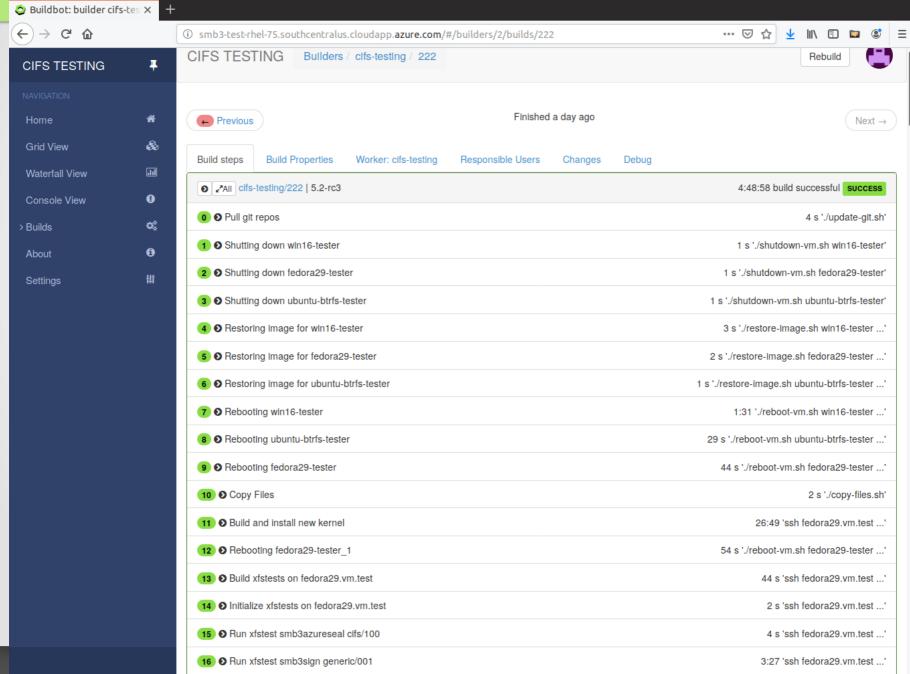
- Faster performance
- Much better tools
- SMB3.1.1 default, improved security
- SMB3.1.1 POSIX Extensions improved!
- LOTS of new features
- And most importantly MUCH better tested and stable!

. . .

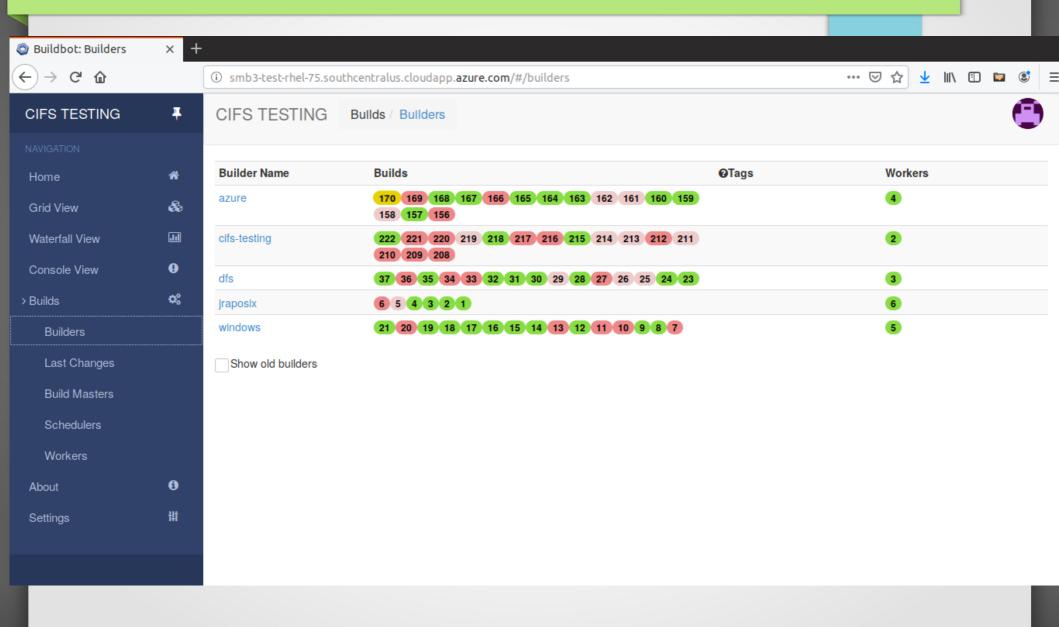
Testing ... testing ... testing

- The "buildbot" automated regression testing! Thank you Paulo, Ronnie and Aurelien. See:
 - http://smb3-test-rhel-75.southcentralus.cloudapp.azure.com
- See xfstesting page in cifs wiki https://wiki.samba.org/index.php/Xfstesting-cifs
- Easy to setup, exclude file for slow tests or failing ones
- Huge improvement in XFSTEST up to 127 groups of tests run over SMB3 (more than run over NFS)! And more being added every release

The "buildbot" and automated testing



Five test groups (should we add more?)



Thanks to the buildbot – 5.1 Best Release Ever for SMB3!

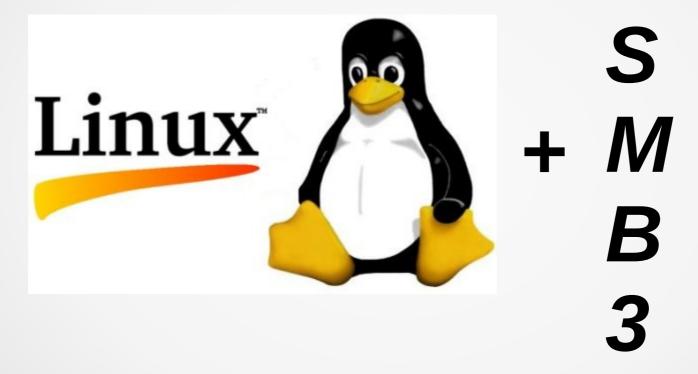
- Prevents regressions
- Continues to improve quality





Thank you for your time

Future is very bright!



Additional Resources to Explore for SMB3 and Linux

- https://msdn.microsoft.com/en-us/library/gg685446.aspx
 - In particular MS-SMB2.pdf at https://msdn.microsoft.com/en-us/library/cc246482.aspx
- https://wiki.samba.org/index.php/Xfstesting-cifs
- Linux CIFS client https://wiki.samba.org/index.php/LinuxCIFS
- Samba-technical mailing list and IRC channel
- And various presentations at http://www.sambaxp.org and Microsoft channel 9 and of course SNIA ... http://www.snia.org/events/storage-developer
- And the code:
 - https://git.kernel.org/cgit/linux/kernel/git/torvalds/linux.git/tree/fs/cifs
 - For pending changes, soon to go into upstream kernel see:
 - https://git.samba.org/?p=sfrench/cifs-2.6.git;a=shortlog;h=refs/heads/for-next