# SNIA Advancing storage & information technology Data Deduplication Metadata Extension

### Version 1.1c

ABSTRACT: This document describes a proposed extension to the SNIA Cloud Data Management Interface (CDMI) International Standard.

Publication of this Working Draft for review and comment has been approved by the Cloud Storage Technical Working Group. This draft represents a "best effort" attempt by the Cloud Storage Technical Working Group to reach preliminary consensus, and it may be updated, replaced, or made obsolete at any time. This document should not be used as reference material or cited as other than a "work in progress." Suggestion for revision should be directed to http:/snia.org/feedback.

### Working Draft

December 10, 2014

USAGE

The SNIA hereby grants permission for individuals to use this document for personal use only, and for corporations and other business entities to use this document for internal use only (including internal copying, distribution, and display) provided that:

- 1. Any text, diagram, chart, table or definition reproduced shall be reproduced in its entirety with no alteration, and,
- 2. Any document, printed or electronic, in which material from this document (or any portion hereof) is reproduced shall acknowledge the SNIA copyright on that material, and shall credit the SNIA for granting permission for its reuse.

Other than as explicitly provided above, you may not make any commercial use of this document, sell any or this entire document, or distribute this document to third parties. All rights not explicitly granted are expressly reserved to SNIA.

Permission to use this document for purposes other than those enumerated above may be requested by e-mailing tcmd@snia.org. Please include the identity of the requesting individual and/or company and a brief description of the purpose, nature, and scope of the requested use.

All code fragments, scripts, data tables, and sample code in this SNIA document are made available under the following license:

BSD 3-Clause Software License

Copyright © 2014, The Storage Networking Industry Association.

Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:

- Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
- Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in the documentation and/or other materials provided with the distribution.
- Neither the name of The Storage Networking Industry Association (SNIA) nor the names of its contributors may be used to endorse or promote products derived from this software without specific prior written permission.

THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT OWNER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

### DISCLAIMER

The information contained in this publication is subject to change without notice. The SNIA makes no warranty of any kind with regard to this specification, including, but not limited to, the implied warranties of merchantability and fitness for a particular purpose. The SNIA shall not be liable for errors contained herein or for incidental or consequential damages in connection with the furnishing, performance, or use of this specification.

Suggestions for revisions should be directed to http://www.snia.org/feedback/.

Copyright © 2014 SNIA. All rights reserved. All other trademarks or registered trademarks are the property of their respective owners.

### **Revision History**

Date	Version	Ву	Comments
2014-05-13	1.0a	CDMI TWG	Document created at San Diego Face to Face meeting by Zhaoming Ding from ZTE corporation
2014-11-11	1.1a	Zhaoming Ding	Updated for sending of list of data fingerprints and supply examples
2014-11-20	1.1a	Ghazanfar Ali	Updated based on meeting discussions.
2014-12-01	1.1b	Marie McMinn	Performed technical edit.
2014-12-10	1.1c	CDMI TWG	Final edits in preparation for public review.

## **Data Deduplication Metadata Extension**

### Overview

Data deduplication is a capacity optimization technology that is used to dramatically improve storage efficiency. Data deduplication is the process of eliminating redundant copies of data. In the context of storage, deduplication refers to any algorithm that searches for duplicate data objects, e.g. blocks, chunks, and files, and stores only a single copy of those objects.

The benefits of data deduplication are clear: it can reduce the space needed to store data and increase the available space to retain data for longer periods of time.

The current CDMI protocol does not enable CDMI-aware clients to use or choose data deduplication techonologies. This extension extends CDMI to enable clients to transfer data to and from a CDMI server more efficiently using data deduplication.

### Introduction for Data Deduplication Flows

For client backup deduplication, data fingerprints (hash-based calculations) are created on the client, and the CDMI server creates appropriate internal links to reference duplicated data.

Deduplication is accomplished by using an HTTP PUT to create an object and register fingerprints for chunks of that object, where a list of fingerprints used to create the object.

One or more fingerprints indicate that portions of the object being created may already be known to the CDMI server, and fingerprints together with data indicate that portions of the object being created are being registered with the CDMI server.

A client can reduce bandwidth by first performing a PUT containing only fingerprints in the fingerprintmap field, each corresponding to a portion of the object to be created, then performing a second PUT containing data along with fingerprints in the fingerprintmap field, each corresponding to previously unknown fingerprints returned in the 409 Conflict response to the first PUT.

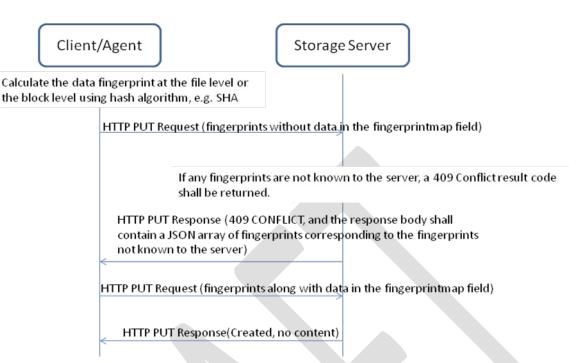


Figure 1: PUT to create an object and register fingerprints for chunks of that object

The algorithm used to calculate the fingerprint value is chosen by the client. This extension requires that each fingerprint value represents a unique data block, and recommends that the beginning of the fingerprint is a self-describing indicator of the algorithm used to calculate the fingerprint.

### Modifications to the CDMI 1.1.0 spec:

1)

clause 6.2.4 Request Message Body

A request message body using chunked encoding may contain a mixture of:

- non-zero-length chunks without a chunk extension.
- zero-length chunks with a fingerprint chunk extension to indicate that portions of the object being created may already be known to the CDMI server; and
- non-zero-length chunks with a fingerprint chunk extension to indicate that portions of the object being created are being registered with the CDMI server.

If the server does not know any zero-length fingerprint chunks, an HTTP status code of 409 Conflict shall be returned, and the response body shall contain a JSON array of fingerprints unknown to the CDMI server.

A client can reduce bandwidth by performing

- a PUT containing only zero-length fingerprint chunk extensions that correspond to each chunk of the object to be created, and
- a second PUT containing a non-zero-length fingerprint chunk extension corresponding to each fingerprint returned in the 409 Conflict response to the first PUT.

Add to end of

clause 6.2.6 Response Message Body

If one or more non-zero-length fingerprint chunk extensions are received by the CDMI server that correspond to fingerprint chunks that are unknown to the CDMI server, a JSON array containing the fingerprint chunk extension values corresponding to the fingerprints unknown to the CDMI server shall be returned.

3)

2)

Add new

examples to 6.2.8 Examples

EXAMPLE 2 PUT to request the creation of an object using fingerprints of possible previously stored data:

```
PUT /MyContainer/MyDataObject.txt HTTP/1.1
Host: cloud.example.com
Content-Type: text/plain;charset=utf-8
Content-Length: 37
Transfer-Encoding: chunked
0;fingerprint=SHA256:86e1de74820a9b252ba33b2eed445b0cd02c445b5f4b8007205af
f1762d7301a
0;fingerprint=SHA256:30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718f
5520f7b2460
```

Add to end of

The following shows the response.

```
HTTP/1.1 409 Conflict
Content-Type: application/json
[
"SHA256:86e1de74820a9b252ba33b2eed445b0cd02c445b5f4b8007205aff1762d7301a",
"SHA256:30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718f5520f7b2460"
]
```

### EXAMPLE 3 PUT to create an object and register fingerprints for chunks of that object:

```
PUT /MyContainer/MyDataObject.txt HTTP/1.1
Host: cloud.example.com
Content-Type: text/plain;charset=utf-8
Content-Length: 37
Transfer-Encoding: chunked
4;fingerprint=SHA256:86elde74820a9b252ba33b2eed445b0cd02c445b5f4b8007205af
f1762d7301a
This
33;fingerprint=SHA256:30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718
f5520f7b2460
is the Value of this Data Object
```

#### The following shows the response.

HTTP/1.1 201 Created

4)

after "value" row of Table 21 in clause 8.2.5:

fingerprintmapJSON Array of JSON ObjectsA list of fingerprints used to create the object.OptionalªfingerprintmapA list of fingerprints used to create the object.One or more zero-length value fingerprint entries indicate that the CDMI server may already know portions of the object being created, and non-zero-length value fingerprint entries indicate that portions of the object being created are being registered with the CDMI server. If the CDMI server does not know any zero-length value fingerprint entries, an HTTP status code of 409 Conflict shall be returned, and the response body shall contain a JSON array of fingerprints unknown to the server. A client can reduce bandwidth by first performing a PUT containing only zero-length value fingerprint entries in the fingerprintmap field, each corresponding to a chunk of the object to be created, then performing a second PUT containing non- zero-length value fingerprint entries for each corresponding fingerprint returned in the 409 Conflict response to the first PUT. The transfer encoding of fingerprint entry values is determined				
by the valuetransferencoding field. Each fingerprint entry in the fingerprintmap JSON array shall have the following JSON object structure:	Arr JS(	ray of ON on jects	more zero-length value fingerprint entries indicate that DMI server may already know portions of the object being d, and non-zero-length value fingerprint entries indicate ortions of the object being created are being registered e CDMI server. If the CDMI server does not know any ength value fingerprint entries, an HTTP status code of conflict shall be returned, and the response body shall in a JSON array of fingerprints unknown to the server. It can reduce bandwidth by first performing a PUT hing only zero-length value fingerprint entries in the printmap field, each corresponding to a chunk of the object created, then performing a second PUT containing non- ength value fingerprint entries for each corresponding print returned in the 409 Conflict response to the first ansfer encoding of fingerprint entry values is determined valuetransferencoding field.	Optional <sup>a</sup>

Add new row

"value" : " <optional value="">",</optional>	
"part" : " <optional mime<="" multi-part="" td=""><td></td></optional>	
value reference>"	
}	
If a CDMI client includes a "part" in place of a "value", the CDMI server shall use the contents of the MIME part that corresponds to the MIME value reference in place of the value.	
The "part" JSON string shall contain an integer greater than one, and the HTTP Request Body shall contain at least that number of multi-part MIME parts.	

5)

after "metadata" row of Table 23 in clause 8.2.7:

If one or more zero-length value fingerprint entries are received that correspond to fingerprints that are unknown to the CDMI server, the response body shall contain the fingerprintmap field with only zero-length value fingerprint entries that are unknown to the CDMI server.

6)

Add new

Add new row

examples to 8.2.9 Examples

EXAMPLE 5 PUT to request the creation of an object using fingerprints of possible previously stored data, where the CDMI server knows the first fingerprint:

```
PUT /MyContainer/MyDataObject.txt HTTP/1.1
Host: cloud.example.com
Accept: application/cdmi-object
Content-Type: application/cdmi-object
X-CDMI-Specification-Version: 1.1
{
    "fingerprintmap": [
            "fingerprint":
"SHA256:86e1de74820a9b252ba33b2eed445b0cd02c445b5f4b8007205aff1762d7301a",
            "value": ""
        },
        {
            "fingerprint": ""SHA256:
30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718f5520f7b2460",
"value" : ""
}
        ]
}
```

The following shows the response.

```
HTTP/1.1 409 Conflict
{
    "fingerprintmap": [
        {
```

```
"fingerprint": ""SHA256:
30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718f5520f7b2460",
"value" : ""
}
]
}
```

EXAMPLE 6 PUT to create an object by including value for the fingerprints unknown to the CDMI server:

```
PUT /MyContainer/MyDataObject.txt HTTP/1.1
Host: cloud.example.com
Accept: application/cdmi-object
Content-Type: application/cdmi-object
X-CDMI-Specification-Version: 1.1
{
    "fingerprintMap": [
            "fingerprint":
"SHA256:86e1de74820a9b252ba33b2eed445b0cd02c445b5f4b8007205aff1762d7301a",
            "value": ""
        },
        {
            "fingerprint": ""SHA256:
30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718f5520f7b2460",
"value" : "istheValueofthisDataObject"
}
        1
}
```

The following shows the response.

```
HTTP/1.1 201 Created
Content-Type: application/cdmi-object
X-CDMI-Specification-Version: 1.1
{
    "objectType": "application/cdmi-object",
    "objectID": "00007ED90010D891022876A8DE0BC0FD",
    "objectName": "MyDataObject.txt",
    "parentURI": "/MyContainer/",
    "parentID": "00007E7F00102E230ED82694DAA975D2",
    "domainURI": "/cdmi domains/MyDomain/",
    "capabilitiesURI": "/cdmi capabilities/dataobject/",
    "completionStatus": "Complete",
    "mimetype": "text/plain",
    "metadata": {
        "cdmi size": "37"
   }
}
```

#### Add new row

7) after "value" row of Table 27 in clause 8.3.6:

fingerprintmap	JSON Array of JSON Objects	A list of fingerprints associated with the object. Each fingerprint entry contains an offset and length corresponding to the data associated with the fingerprint. If a client already has part of the object stored locally, the client can retrieve the fingerprintmap, and subsequently only GET the ranges of the object that are not already locally stored. Byte offsets and lengths are defined according to the object valuetransferencoding. Each fingerprint entry in the fingerprintmap JSON array shall have the following JSON object structure:	Optional
		<pre>{     fingerprint" : "<fingerprint>",     "offset" : "<byte beginning="" of="" position="" range="">",     "length" : "<byte length="" of="" range="" the="">" }</byte></byte></fingerprint></pre>	

8)

examples to 8.3.8 Examples

### Add new

### EXAMPLE 8 GET to retrieve the fingerprintmap of an object:

```
GET /MyContainer/MyDataObject.txt?fingerprintmap HTTP/1.1
Host: cloud.example.com
Accept: application/cdmi-object
X-CDMI-Specification-Version: 1.1
```

#### The following shows the response.

```
HTTP/1.1 200 OK
Content-Type: application/cdmi-object
X-CDMI-Specification-Version: 1.1
{
    "fingerprintmap": [
            "fingerprint":
"SHA256:86e1de74820a9b252ba33b2eed445b0cd02c445b5f4b8007205aff1762d7301a",
            "offset": "0",
            "length": "4"
        },
        {
            "fingerprint": "SHA256:
30e70dda3fb3acd5aafd3e6426613247f2c88b2384ad048ad718f5520f7b2460",
            "offset": "4",
            "length": "33"
        }
    ]
}
```

### 9)

In Clause

12.1.1, add a new row at end of "Table 100 – System-Wide Capabilities"

Capability Name	Туре	Definition
cdmi_data_dedupe	JSON String	If present and "true", this capability indicates that the cloud storage system supports data deduplication.

10)

In Clause

12.1.5, add a new row at end of "Table 104 – Capabilities for Containers"

Capability Name	Туре	Definition
cdmi_create_dataobject_dedupe	JSON String	If present and "true", this capability indicates that the cloud storage system shall support the ability of the container to add a new data object that is deduplicated.